

Form PTO-1390

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

P26591

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/521815

INTERNATIONAL APPLICATION NO.
PCT/JP2003/009887INTERNATIONAL FILING DATE
04 August 2003PRIORITY DATE CLAIMED
07 August 2002

TITLE OF INVENTION

SUBSTRATE TRANSFER APPARATUS FOR COMPONENT MOUNTING MACHINE

APPLICANT(S) FOR DO/EO/US


Noriyuki TANI, and Noboru FURUTA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information.

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (23) indicated below.
4. ☒ The US has been elected (PCT Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154 (d) (4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). "Executed"
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 16 below concern other document(s) or information included:

11. Assignee: MATSUSHITA ELECTRIC INDUSTRIAL CO., LTD., of Osaka, Japan
12. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
13. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
14. ☒ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ An Application Data Sheet under 37 C.F.R. 1.76.
16. ☐ A substitute specification.
17. ☐ A power of attorney and/or change of address letter.
18. ☒ Figure of Drawing to be published: 1
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154 (d) (4).
21. ☐ A second copy of the English language translation of the international of the international application under 35 U.S.C. 154 (d) (4).
22. ☒ Other items or information:
International Application as published (Cover Sheet).
International Application as filed.
PCT/RO/101 PCT Request.
PCT/ISA/210 International Application.
Preliminary Amendment and Cover Letter Submitting Amended Pages of Application.
PCT/IPEA/409 International Preliminary Examination Report and Annex Amended Sheets 20-22.
PCT/IPEA/416.
PCT/IB/304.
PCT/IB/308.
Claim of Priority.

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|--|--------------|--|--|------------------------------------|--------------|
| U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/521815 | | INTERNATIONAL APPLICATION NO. PCT/JP2003/009887 | | ATTORNEY'S DOCKET NUMBER P26591 | |
| 23 <input checked="" type="checkbox"/> The following fees are submitted: | | | | CALCULATIONS | PTO USE ONLY |
| | | | | | |
| ENTER APPROPRIATE BASIC FEE AMOUNT = | | | | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | \$ 0.00 | |
| Basic National Stage Fee | | \$300.00 | | \$ 300.00 | |
| National Stage Application Size Fee – for each additional 50 sheets that exceeds 100 sheets | | \$250.00 | | \$ 0.00 | |
| National Stage Search Fee | | \$500.00 | | \$ 500.00 | |
| National Stage Examination Fee | | \$200.00 | | \$ 200.00 | |
| Claims | Number Filed | Number Extra | Rate | | |
| Total Claims | 9 - 20 = | 0 | x \$50.00 | \$ 0.00 | |
| Independent Claims | 1 - 3 = | 0 | x \$200.00 | \$ 0.00 | |
| Multiple Dependent Claim(s) (if applicable) | | + \$360.00 | | \$ 0.00 | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ 1,000.00 | |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½. | | | | \$ 0.00 | |
| SUBTOTAL = | | | | \$ 1,000.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)). | | | | \$ 0.00 | |
| Extension of Time fee in the amount of \$ | | | | \$ 0.00 | |
| TOTAL NATIONAL FEE = | | | | \$ 1,000.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | | \$ 40.00 | |
| TOTAL FEES ENCLOSED = | | | | \$ 1,040.00 | |
| | | | | Amount to be refunded | \$ |
| | | | | Charged | \$ |
| <p>a. <input checked="" type="checkbox"/> A check in the amount of <u>\$1,040.00</u> to cover the above fees is included.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$_____ to cover the above fees.</p> <p>c. <input checked="" type="checkbox"/> The U.S. Patent and Trademark Office is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>19-0089</u>.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p> <p>e. <input checked="" type="checkbox"/> Charge any additional fee required under 37 C.F.R. 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3)).</p> <p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p> | | | | | |
| SEND ALL CORRESPONDENCE TO CUSTOMER NO. 7055 AT THE PRESENT ADDRESS OF: | | | Leslie J. Paperner Reg. No. 33,329 SIGNATURE  | | |
| Bruce H. Bernstein GREENBLUM & BERNSTEIN, P.L.C. 1950 Roland Clark Place Reston, VA 20191 (703) 716-1191 | | | Bruce H. Bernstein NAME 29,027 REGISTRATION NUMBER | | |